



Determinants of the 6-minute pegboard and ring test as an unsupported upper-extremity exercise capacity measure in older adults with chronic obstructive pulmonary disease

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Abstract

Purpose Upper extremities play an important role in performing of many activities of daily living. Physical and pathological changes limit upper extremity functions in older adults with chronic obstructive pulmonary disease (COPD). Although the 6-minute pegboard and ring test (6PBRT) is a reliable and commonly used method for the assessment of unsupported upper-extremity exercise capacity in patients with COPD, there is lack of evidence about the possible determinants of the 6PBRT score. The study aimed to investigate the possible determinants of the 6PBRT in older adults with COPD.

Methods Fifty-two older adults (age ≥ 65 years) with stable COPD and 23 age-matched healthy older adults participated in this study. Demographic characteristics, unsupported upper-extremity exercise capacity, pulmonary function, functional exercise capacity, disease related symptoms, peripheral and respiratory muscle strength were evaluated. Stepwise multiple linear regression analysis was used to investigate the possible determinants of the 6PBRT score.

Results The 6PBRT score was significantly higher in healthy participants compared with those with COPD ($p = 0.024$). In participants with COPD, shoulder flexor muscle strength, age and functional exercise capacity were significant and independent predictors of the unsupported upper-extremity exercise capacity with explaining 55.4% of the variance.

Conclusions This study suggests that shoulder flexor muscle strength, age and functional exercise capacity are independent determinants of the unsupported upper-extremity exercise capacity assessed by the 6PBRT in older adults with COPD.

Keywords Older adults · Unsupported upper-extremity exercise capacity · Chronic obstructive pulmonary disease · 6-minute pegboard and ring test

Introduction

Chronic obstructive pulmonary disease (COPD) is characterized by respiratory symptoms and airflow limitation that is a leading cause of mortality and morbidity worldwide [1]. The prevalence of COPD increases with advancing age and becomes an important health care problem in older adults [2]. Age-related changes in structural and physiological changes including respiratory system cause high mortality rates in older adults with COPD [3]. Both ageing and COPD increase physical impairments that result in functional disability [4]. For example, activities of daily living performance is reduced in older adults with COPD compared with younger individuals with same disease severity, dyspnea levels and comorbidities [5].

Upper extremities play an important role in performing of many activities of daily living such as eating, personal

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hygiene, and work-related tasks [6]. Moreover, upper extremity muscles sustain the upper girdle and act as accessory respiratory muscles. These muscles are usually inactive in healthy people in rest and active during a physical effort [7]. However, it is known that upper extremity muscles are active even at rest in persons with COPD [8]. Therefore, during the most common activities of daily living involving activation of upper extremity muscles, respiration becomes ineffective in persons with COPD due to the accessory respiratory muscles work for sustaining the shoulder girdle, thus the diaphragm becomes overloaded [8]. This functional overload of diaphragm may increase the sensation of dyspnea and fatigue in persons with COPD [8].

The importance of upper extremities support the rationale of the inclusion of upper exercise training in pulmonary rehabilitation programs for persons with COPD as recommended by the most up-to-date Official American Thoracic Society/European Respiratory Society Statement [9]. The previous studies suggested that the resistance training for muscles of the upper extremities could increase their strength and improve the performance in related tasks, such as the 6-minute pegboard and ring test (6PBRT) [8, 10]. However, since the training tasks and the tested tasks are similar such in the 6PBRT, it cannot be concluded that the upper extremity training led to additional benefits [8, 10, 11]. Although the 6PBRT is a reliable and commonly used method for the assessment of unsupported upper-extremity exercise capacity (UUEEC) in persons with COPD, there is lack of evidence about the possible determinants of the 6PBRT performance [8, 10, 11]. Knowing the possible determinants of the 6PBRT performance will contribute to the development of both the upper extremity exercise capacity and activities of daily living. Furthermore, it will guide the planned rehabilitation programs for individuals with COPD. If the wider range of outcome measures besides upper extremity muscle strength such as respiratory functions, dyspnea, disease severity, and functional exercise capacity would be found as possible determinants of the 6PBRT, the improvements in this test can be interpreted as the improvements in these domains. Therefore, to the best of our knowledge, this is the first study which aimed to investigate the possible determinants of the 6PBRT in older adults with COPD.

Materials and methods

Study design and participants

Fifty-two older adults (age ≥ 65 years) with COPD and 23 age-matched healthy older adults participated in this cross-sectional study. The diagnosis of COPD was made according to global initiative for chronic obstructive pulmonary disease

(GOLD) guidelines (medical history, current symptoms, and pulmonary function testing) by a specialist. The demographics and clinical characteristics were recorded. The inclusion criteria for the person with COPD were a diagnosis of COPD [forced expiratory volume in 1 s (FEV_1) < 80% of predicted]. All participants with COPD were in stable clinical condition at the study time and they had been on the same medication routine in the previous 3 weeks and not taking antibiotics. There were no participants with COPD using oxygen therapy during the tests. The inclusion criteria for healthy older adults were normal spirometry values. Persons with orthopedic problems, cardiovascular disease or dementia were excluded from the study. The study protocol was approved by the Ethics Committee of Dokuz Eylül University and all participants provided written consent to participate in the study according to the Helsinki Declaration.

Data collection

Pulmonary function was assessed with a computer-based spirometer (Minispir; Medical International Research, Rome, Italy). The highest value from at least three technically acceptable maneuvers was recorded as the score. Values of FEV_1 , forced vital capacity (FVC), FEV_1/FVC , peak expiratory flow rate (PEF), and forced expiratory flow between 25% and 75% of FVC ($FEF_{25-75\%}$) were evaluated [12].

The Modified Medical Research Council (mMRC) Dyspnea Scale which is largely used in the assessment of dyspnea in COPD, was used to assess dyspnea [1]. The mMRC Dyspnea Scale has five levels from score 0 (only get breathless with strenuous exercise) to score 4 (too breathless to leave the house or breathless when dressing) [13].

The COPD Assessment Test (CAT) is well known test which provides a reliable measure of the impact of COPD on a patient's health status. It has eight items and the score ranges from 0 to 40. As the score increases, the presence of symptoms increases [1].

A hand-held mouth pressure device (Micro RMP; Micro Medical, Rochester, UK) was used to measure inspiratory muscle strength (MIP) and expiratory muscle strength (MEP). The participants performed three to five acceptable and reproducible maximal maneuvers (i.e., differences of 10% or less between values). The recorded value was the highest unless this was obtained from the last effort [14].

Isometric shoulder flexor muscle strength was measured with a hand-held dynamometer (Lafayette Manual Muscle Testing System; Lafayette Instrument Company, Lafayette, Indiana, USA) [15]. The Jamar[®] hand dynamometer (Patterson Medical, Warrenville, Illinois, USA) was used to assess the handgrip strength. The Jamar[®] hand dynamometer is recommended by the American Hand Therapist Association for the measurement of handgrip strength and considered to

be the gold standard because of its high validity and reliability [16]. Measurements were repeated three times from the dominant limbs and average values were recorded.

The 6-minute walk test (6MWT) was performed to assess functional exercise capacity. The test performed in accordance with guidelines of American Thoracic Society [17]. Blood pressure (Erka Manual Sphygmomanometer, Germany), heart rate (Beurer pulse oximeter, Germany), oxygen saturation (Beurer pulse oximeter, Germany), dyspnea and fatigue by the modified Borg Scale were recorded before and after the 6MWT.

The 6PBRT was performed to assess UUEEC. The test performed in accordance with the method of described Zhan et al. [11]. Blood pressure, heart rate, oxygen saturation, dyspnea and fatigue were also recorded before and after the 6PBRT.

Data analysis

Since the data were normally distributed (checked by the Shapiro–Wilk test and histograms), parametric analyses were conducted. Mean and standard deviation (SD) values were reported for the continuous variables, and number and percent values were reported for the categorical variables. The Chi-squared test and independent samples *t* test were used to compare the groups. The Pearson correlation coefficient was calculated to examine the correlation between the 6PBRT and other study variables. Stepwise multiple linear regression analysis was used to identify variables that could best determine the 6PBRT score. Significantly correlated variables (age, mMRC, CAT, MIP, MEP, 6MWT, handgrip strength, and shoulder flexor muscle strength) with the 6PBRT score included in the regression model for the participants with COPD. In addition, significantly correlated variables (age, mMRC and 6MWT) with the 6PBRT score included in the regression model for the healthy participants. The correlation coefficients, >0.5 were interpreted as strong, 0.3–0.5 as moderate, and 0.2–0.3 as weak [18]. The level of significance was set at $p < 0.05$. The data were analyzed using the IBM® SPSS® Statistics for Windows software (Version 20.0. Armonk, NY: IBM Corp.).

There is no study which has investigated the determinants of UUEEC in older adults with COPD. However, a previous study has revealed that age was a significant predictor of the 6MWT distance in the persons with COPD ($R^2 = 0.173$, $p < 0.001$) [19]. Based on the results of that study, the minimum required sample size for a multiple regression analysis was calculated as 42 participants for the probability level as 0.05, nine predictors in the model, the anticipated effect size as 0.20, and the statistical power level as 80% using G*Power Software (Version 3.1.9.2, Düsseldorf University, Düsseldorf, Germany).

Results

Since all participants completed all the tests, the data obtained from 52 persons with COPD (38 men and 14 women) and 23 healthy older adults (16 men and 7 women) were analyzed. The characteristics of the participants are presented in Table 1.

There was no significant difference in demographic characteristics (age, gender, BMI) and handgrip strength between the two groups ($p > 0.05$). The spirometry values, 6MWT distance, 6PBRT score, respiratory and shoulder muscle strength were significantly higher in healthy group compare with the COPD group ($p < 0.05$). The mMRC score and smoking history were higher in COPD group than healthy group ($p < 0.05$) (Table 1).

The comorbidities observed in the participants with COPD were hypertension (53.8%), heart failure (36.5%), diabetes mellitus (19.2%), other respiratory disease (asthma, obstructive sleep apnea syndrome) (9.6%), and other comorbidities (liver disease, gastroesophageal reflux disease, anxiety disorder) (11.5%) (Table 1).

The 6PBRT score had moderate to strong correlations with age ($r = -0.488$, $p < 0.001$), mMRC score ($r = -0.423$, $p = 0.002$), CAT score ($r = -0.370$, $p = 0.007$), MIP ($r = 0.510$, $p < 0.001$), MEP ($r = 0.400$, $p = 0.003$), 6MWT distance ($r = 0.580$, $p < 0.001$), handgrip strength ($r = 0.578$, $p < 0.001$), shoulder flexor muscle strength ($r = 0.599$, $p < 0.001$) in participants with COPD. The 6PBRT score had strong correlations with age ($r = -0.685$, $p < 0.001$), mMRC score ($r = -0.716$, $p < 0.001$) and 6MWT distance ($r = 0.727$, $p < 0.001$) in healthy participants. Table 2 presents the correlation coefficients between the 6PBRT score and other study variables.

The stepwise multiple regression analysis revealed that shoulder flexor muscle strength, age and the 6MWT distance were significant and independent predictors of the 6PBRT in older adults with COPD with explaining 55.4% of the variance in 6PBRT score. The regression model was accepted as adequate since *F* value was significant ($F = 22.113$, $p < 0.001$), the variance inflation factors (VIF) values were less than 10, residual distribution was random, and there was only one outlier (Table 3, Fig. 1a). The regression equation formula for prediction of the 6PBRT score was calculated using explanatory variables (shoulder flexor muscle strength, age and the 6MWT distance) and coefficients in older adults with COPD (Table 3). The regression equation formula is “6PBRT score = 160.535 + (2.802 × shoulder flexion strength (kg)) + (− 1.479 × age (year)) + (0.086 × 6MWT distance (m))” in older adults with COPD.

The stepwise multiple regression analysis revealed that and the 6MWT distance and mMRC score were

Table 1 Demographic and clinical characteristics of the participants

	COPD group	Healthy group	<i>p</i>
Age (years)	74.3 ± 6.5	73.6 ± 8.0	0.700
Sex (men/women)	38/14	16/7	0.755
Body mass index (kg/m ²)	27.0 ± 4.3	26.9 ± 4.3	0.955
GOLD stage (I/II/III/IV)	3/29/19/1	N/A	N/A
Comorbidities		N/A	N/A
Hypertension	28 (53.8)		
Heart failure	19 (36.5)		
Diabetes mellitus	10 (19.2)		
Other respiratory disease (asthma, obstructive sleep apnoea syndrome)	5 (9.6)		
Other comorbidities (liver disease, gastroesophageal reflux disease, anxiety disorder)	6 (11.5)		
Smoking (pack-year)	40.8 ± 28.8	17.6 ± 19.2	0.002
FEV ₁ predicted (%)	55.6 ± 15.7	98.1 ± 22.9	< 0.001
FVC predicted (%)	71.6 ± 15.6	85.4 ± 11.0	< 0.001
FEV ₁ /FVC	59.1 ± 8.5	91.9 ± 18.7	< 0.001
PEF predicted (%)	56.7 ± 19.4	95.0 ± 31.4	< 0.001
FEF _{25–75%} predicted (%)	30.5 ± 17.0	112.2 ± 59.6	< 0.001
mMRC score (0–4)	1.8 ± 0.7	0.6 ± 0.6	< 0.001
CAT score (0–40)	12.5 ± 4.9		
MIP (cmH ₂ O)	57.4 ± 25.2	72.7 ± 30.9	0.027
MEP (cmH ₂ O)	76.1 ± 26.2	110.5 ± 46.2	< 0.001
6MWT distance (m)	376.6 ± 77.7	434.1 ± 81.5	0.005
6PBRT score (<i>n</i>)	128.4 ± 26.6	143.5 ± 25.3	0.024
Handgrip strength (kg)	27.1 ± 8.6	26.1 ± 5.3	0.591
Shoulder flexor muscle strength (kg)	16.2 ± 4.08	18.9 ± 5.1	0.014

Values are expressed as mean (standard deviation) for continuous variables and number was reported for categorical variables

Significant *p* values are present in bold

GOLD global initiative for chronic obstructive pulmonary disease, *FVC* forced vital capacity, *FEV₁* forced expiratory volume in 1 s, *PEF* peak expiratory flow rate, *FEF_{25–75%}* forced expiratory flow between 25% and 75% of FVC, *mMRC* modified medical research council, *CAT* COPD assessment test, *MIP* maximal inspiratory pressure, *MEP* maximal expiratory pressure, *6PBRT* 6-minute pegboard and ring test, *6MWT* 6-minute walk test

significant and independent predictors of the 6PBRT in healthy older adults with explaining 63.9% of the variance in 6PBRT score (Table 4, Fig. 1b). The regression equation formula of prediction of the 6PBRT score was calculated using explanatory variables (the 6MWT distance and mMRC score) and coefficients in healthy older adults (Table 4). The regression equation formula is “6PBRT score = 89.955 + (0.149 × 6MWT distance (m)) + (− 19.462 × mMRC score) in healthy older adults.

Discussion

This study was the first which determined the predictors of UUEEC and formulated regression equations to predict the 6PBRT score in older adults with COPD. The main finding of the study demonstrated that shoulder flexor muscle strength, age and functional exercise capacity were found

as independent determinants of the UUEEC assessed by the 6PBRT with explaining 55.4% of the variance in older adults with COPD. This study also showed that the 6PBRT was correlated with disease related symptoms, respiratory muscle and handgrip strength. Additionally, the study showed that there is an impairment of upper extremity exercise capacity in older adults with COPD compared to healthy older adults. Furthermore, the study showed that functional exercise capacity and dyspnea were found as independent determinants of the UUEEC with explaining 63.9% of the variance in the healthy older adults.

Impairment in the peripheral muscle function is one of the well-known systemic consequences of COPD [19]. Systemic hypoxia, oxidative stress and systemic inflammation cause muscle dysfunction in persons with COPD [20, 21]. Peripheral muscle dysfunction is one of the reasons of exercise intolerance [22]. Previously, most of the studies have focused on the influence of lower extremity on the exercise

Table 2 Correlation between 6PBRT score and other assessments

	COPD group		Healthy group	
	<i>r</i>	<i>p</i>	<i>r</i>	<i>p</i>
Age (years)	− 0.488	< 0.001	− 0.685	< 0.001
FEV ₁ predicted (%)	0.170	0.237	0.398	0.060
mMRC score (0–4)	− 0.423	0.002	− 0.716	< 0.001
CAT score (0–40)	− 0.370	0.007	N/A	N/A
MIP (cmH ₂ O)	0.510	< 0.001	0.232	0.286
MEP (cmH ₂ O)	0.400	0.003	0.211	0.333
6MWT distance (m)	0.580	< 0.001	0.727	< 0.001
Handgrip strength (kg)	0.578	< 0.001	0.348	0.103
Shoulder flexor muscle strength (kg)	0.599	< 0.001	0.238	0.273

Significant *p* values are present in bold

FEV₁ forced expiratory volume in 1 s, mMRC modified medical research council, CAT COPD assessment test, MIP maximal inspiratory pressure, MEP maximal expiratory pressure, 6MWT 6-minute walk test

intolerance. However, recent studies have suggested that since the upper extremities are very important due to their roles in the activities of daily living, they should be evaluated in the persons with a respiratory disease [23, 24]. In this study, we found that shoulder flexor muscle strength was a predictor of the 6PBRT. This finding shows that weakness of shoulder flexor muscle strength can cause a limitation in the upper extremity function. Additionally, this study demonstrated that a decreased handgrip strength had significantly correlated with a reduced decreased UUEEC in older adults with COPD.

There are many age-related changes in the structural and physiological parameters of the respiratory system. Reduction of lung volumes, alternation in respiratory muscles and changes in thoracic cavity occur with aging [25]. These changes cause a reduction of exercise capacity throughout the normal aging process [26]. Additionally, the presence of COPD with aging makes the decline in exercise capacity even more apparent [27]. We found that age was an independent determinant of the 6PBRT. Therefore, age appears

Table 3 Stepwise multiple linear regression model of the 6PBRT in older adults with COPD

Variable	<i>B</i>	<i>SE</i>	Beta	<i>VIF</i>	<i>t</i>	<i>p</i>
Constant	160.535	35.600	–	–	4.509	< 0.001
Shoulder flexion strength (kg)	2.802	0.712	0.430	1.362	3.935	< 0.001
Age (year)	− 1.479	0.398	− 0.398	1.100	− 3.716	0.001
6MWT distance (m)	0.086	0.039	0.250	1.477	2.202	0.033

$R=0.762$, $R^2=0.580$, adjusted $R^2=0.554$ ($F=22.113$, $p<0.001$)

Significant *p* values are present in bold

B unstandardized regression coefficient, *SE* standard error, *VIF* variance inflation factors, 6MWT 6-minute walk test

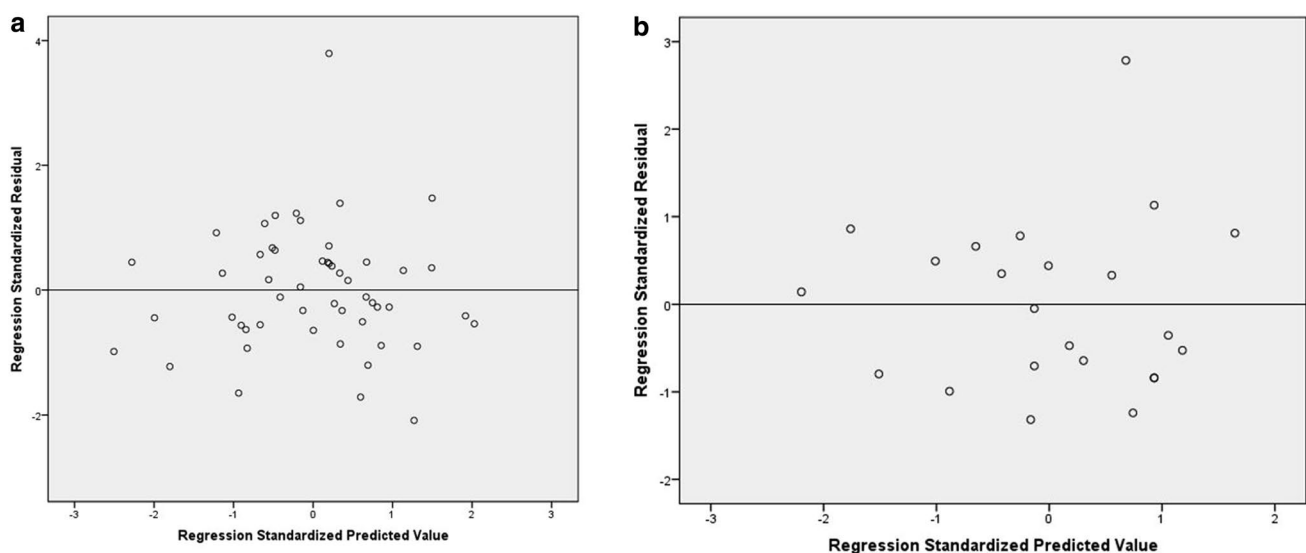


Fig. 1 Regression model in COPD (a) and healthy participants (b)

Table 4 Stepwise multiple linear regression model of the 6PBRT in healthy participants

Variable	<i>B</i>	<i>SE</i>	Beta	<i>VIF</i>	<i>t</i>	<i>p</i>
Constant	89.955	23.162	–	–	3.884	0.001
6MWT distance (m)	0.149	0.048	0.478	1.431	3.119	0.005
mMRC score (0–4)	– 19.462	6.585	– 0.453	1.431	– 2.955	0.008

$R=0.820$, $R^2=0.672$, adjusted $R^2=0.639$ ($F=20.458$, $p<0.001$)

Significant *p* values are present in bold

B unstandardized regression coefficient, *SE* standard error, *VIF* variance inflation factors, *6MWT* 6-minute walk test, *mMRC* Modified Medical Research Council

as an unchangeable risk factor for the UUEEC limitation in older adults with COPD.

The 6MWT is a method that commonly used in determining functional status of persons with COPD since it is a simple and inexpensive method that reflects integrated cardiopulmonary and musculoskeletal function [19]. The 6MWT is used to assess prognosis and treatment response of chronic respiratory diseases including COPD [28]. We found that functional status made an individual contribution to the 6PBRT. It is demonstrated that the UUEEC would be increased with a better functional status in older adults with COPD. Furthermore, the 6MWT was found as independent predictor of the 6PBRT in healthy older adults. These findings show that functional status, whether with or without COPD, affects upper extremity exercise capacity in all older adults.

Reduction compliance of thoracic wall, lung hyperinflation and decline elastic recoil caused respiratory weakness in older adults with COPD [29–31]. Weakness in respiratory muscles is frequently seen in persons with COPD, thus contributing to exercise intolerance and reduced quality of life [30]. We found that the 6PBRT had positive and moderate to strong correlations between MIP and MEP. Respiratory muscle dysfunction affects the upper extremity function and limits the UUEEC.

Additionally, the study showed that 6PBRT is correlated between shoulder flexor, handgrip, inspiratory and expiratory muscle strength in patients with COPD contrast to the healthy individual. These findings demonstrate that the 6PBRT reflects respiratory and upper limb muscle strength in older adults with COPD.

The mMRC Dyspnea Scale and CAT were recommended by the GOLD guideline for the symptom assessment [1]. While the mMRC is used to assess dyspnea, the CAT assesses the comprehensive symptoms [1]. Although the mMRC and CAT scores were not an independent predictor of the 6PBRT in older adults with COPD, they were negatively and moderately correlated with the 6PBRT. This finding demonstrated that the presence of symptoms may limit the upper extremity function. Dyspnea causes worse health status and quality of life in older adults [32]. The mMRC dyspnea scale is used to assess dyspnea in patients

with COPD as well as in older adults [33]. We found that mMRC score was an independent determinant of the 6PBRT in healthy older adults. Dyspnea also seems a factor affecting upper extremity exercise intolerance in healthy older adults.

The FEV₁% is used to demonstrate the degree of airway obstruction and disease severity in persons with COPD [1]. Additionally, studies demonstrated that the FEV₁% affect exercise capacity [34, 35]. We found that there were no significant correlation between the 6PBRT and the FEV₁%, which agrees with a previous report [36]. This result may be due to the low number of GOLD I and IV group persons with COPD in both studies.

This study has some limitations. Although more participants are involved than the minimum required sample size, percentage of participants from GOLD I and IV group was low. In this respect, findings cannot be generalized to all persons with COPD having different disease severity. Additionally, the small sample sizes of the female persons with COPD included in the study reduce the generalizability.

Conclusion

This study was the first study, which determined predictors of UUEEC and formulated regression equations to predict the 6PBRT score in older adults with COPD. This study suggests that shoulder flexor muscle strength, age, and functional exercise capacity are independent determinants of the UUEEC assessed by the 6PBRT in older persons with COPD. In addition, functional exercise capacity and dyspnea are independent determinants of the UUEEC in healthy older adults. The level of independence in the activities of daily living can be increased by improving the upper extremity functions in older adults with COPD. These determinants should be taking into consideration while planning pulmonary rehabilitation programs for persons with COPD.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

Ethical approval The study was approved by the Ethics Committee of Dokuz Eylül University and all procedures performed in studies involving human participants were in accordance with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

Informed consent All participants gave their informed consent.

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