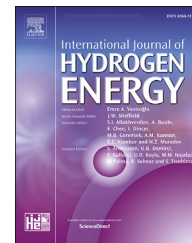




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Properties of BaYO₃ perovskite and hydrogen storage properties of BaYO₃H_x

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HIGHLIGHTS

- BaYO₃ perovskite has been investigated using Density Functional Theory (DFT).
- Hydrogen bonding to BaYO₃ perovskite has been performed and BaYO₃H₃ and BaYO₃H₉ have been obtained.
- The gravimetric hydrogen storage capacity is found to be 1.09 wt% for BaYO₃H₃

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ABSTRACT

In this study, Density Functional Theory (DFT) calculations have been performed for BaYO₃ perovskite with the generalized gradient approximation (GGA) as implemented in Vienna Ab-initio Simulation Package (VASP). The structural optimization of BaYO₃ perovskite have been studied for the five possible phases: cubic, tetragonal, hexagonal, orthorhombic and rhombohedral to determine the most stable phase of BaYO₃ perovskite. It has been found that the cubic phase is the most stable one and electronic and mechanical properties of this phase have been investigated. Moreover, the elastic anisotropy has been visualized in detail by plotting the directional dependence of compressibility, Poisson ratio, Young's and Shear moduli for cubic phase. Then, hydrogen bonding to BaYO₃ perovskite has been conducted and hydrogen storage properties of BaYO₃H_x (x = 3 and 9) such as: formation energy, cohesive energy and gravimetric hydrogen storage capacity have been analyzed. Having no study about BaYO₃ perovskite and hydrogen bonding in the literature makes this study the first considerations of BaYO₃ perovskite. Hence, this work could enlighten the possible future studies.

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Introduction

Hydrogen is the most abundant element in the Earth and its energy density is three times higher than the oil [1]. Hydrogen

is a gas at ambient conditions therefore it can be stored in high pressure tanks which cause safety problems [2,3]. Liquid hydrogen storage can only be ensured at cryogenic temperatures and the energy is needed to liquefy the hydrogen [2,3].

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Also, boiling off hydrogen is another issue for liquid hydrogen storage. Hydrogen could be physisorbed or chemisorbed for solid state hydrogen storage [2]. Physisorption of hydrogen needs low temperatures in order to have high hydrogen sorption because of the low Van der Waals force between material and hydrogen [4]. In contradiction to physisorption, there are chemical bonds for the chemisorption of hydrogen which requires high temperatures to release the hydrogen [5]. The hydrogen should be stored and released at ambient conditions, the material should have good kinetics and reversible storage of hydrogen for several times in order to get an efficient storage material [6]. Both gas, liquid and solid state hydrogen storage methods have some advantages and disadvantages. However, solid state hydrogen storage could be a long term solution.

Solid state hydrogen storage studies are mainly focused on carbon materials, metal hydrides and complex hydrides [7–15]. Carbon materials store hydrogen via physisorption of hydrogen and large pore size is necessary for high hydrogen adsorption. Despite having low pore size, the carbon nanotubes have high hydrogen storage capacities [15]. For the metal hydrides, MgH_2 is studied extensively in the literature that has high gravimetric hydrogen storage capacity with low hydrogen desorption kinetics [16–19]. Another promising material group for hydrogen storage is the Ni-based hydrides that are stable and have high kinetics [20–22]. Complex hydrides are generally composed of group 1A, group 2A and group 3A elements and the most studied complex hydrides are alانات, imides, borohydrides [3]. The disadvantage of complex hydrides is the non-reversible hydrogen storage. To overcome this issue, the catalyst has been added to the complex hydrides systems [23]. In

addition, perovskite type hydrides have been studied for the solid state hydrogen storage both theoretically and experimentally [24–28]. Perovskite type hydrides are generally composed of group 1A and group 2A elements and hydrogen. Therefore, perovskite type hydrides have high gravimetric hydrogen storage capacity. As an example, $NaMgH_3$ perovskite type hydride has approximately 6 wt% gravimetric hydrogen storage capacity [29]. Also, addition of dopants could improve the hydrogen release from perovskite type hydrides. Li et al. [30]. Studied theoretically the effect of Li, Na, Rb and Cs dopants to $K_{1-x}M_xMgH_3$ where $M = Li, Na, Rb$ and Cs and found that for hydrogen release, Li is the most effective dopant. Also, Wang et al. [31] reported the experimental study on the improvement of the dehydriding properties for $NaMgH_3$ with K_2TiF_6 dopant.

Perovskite materials are ceramic and they are hard materials therefore they could be a material candidate for efficient solid state hydrogen storage. But there is no study for perovskite materials in the literature except a recent study that present the hydrogen storage properties of $MgTiO_3H_x$ and $CaTiO_3H_x$ compounds [32]. The main motivation for this study comes from the potential applications for perovskite materials for the solid state hydrogen storage method. For this purpose, $BaYO_3$ perovskite has been chosen to investigate its hydrogen storage properties.

In this study, $BaYO_3$ perovskite has been investigated using Density Functional Theory (DFT) and then hydrogen bonding to $BaYO_3$ perovskite has been performed and the results have been analyzed. The calculation details and the structural optimizations will be detailed in Section [Calculation details and structural optimizations](#). The electronic properties including band structure, corresponding

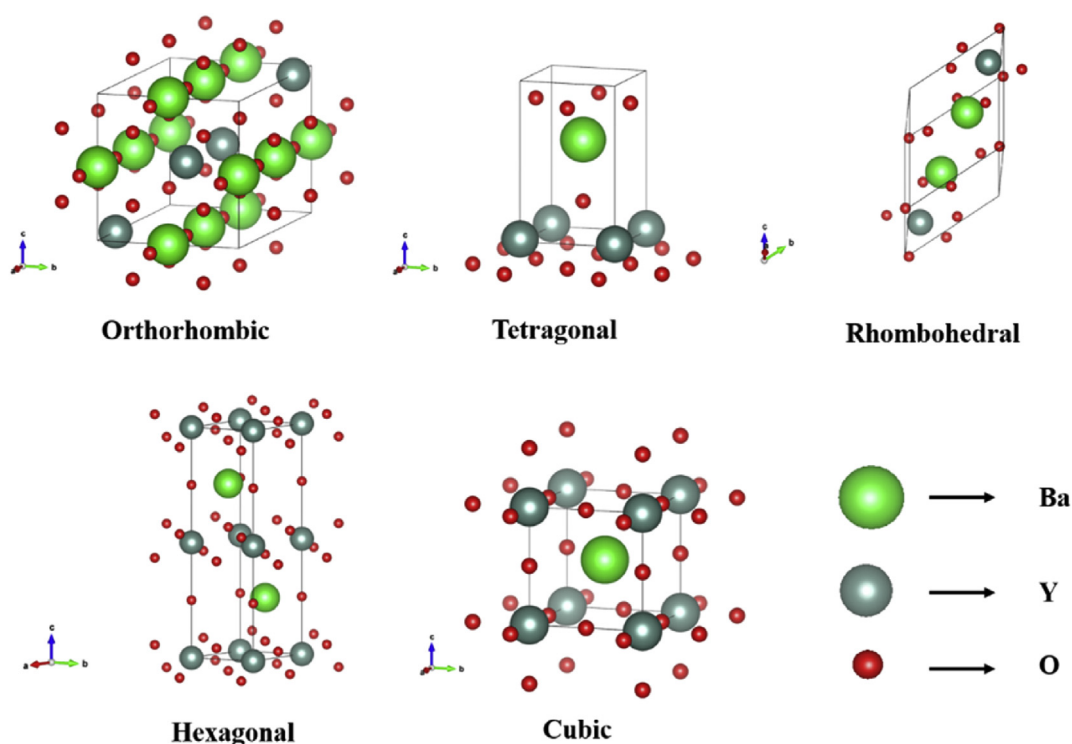


Fig. 1 – Crystal structure for $BaYO_3$ perovskite.

Table 1 – Lattice constants (lattice vectors: a, b, c in Å and the angles between the vectors: α, β, γ) and formation energies (ΔE_f in eV/atom).

	a	b	c	α	β	γ	ΔE_f
Orthorhombic (Pnma)	9.791	6.363	6.368	90.00	90.00	90.00	−1.10
Tetragonal (P4mm)	3.884	3.884	6.362	90.00	90.00	90.00	−2.26
Rhombohedral (R-3c)	6.608	6.608	6.608	55.49	55.49	55.49	−1.65
Hexagonal (P6 ₃ /mmc)	3.935	–	14.152	90.00	90.00	120.00	−1.35
Cubic (Pm-3m)	4.428	–	–	90.00	90.00	90.00	−2.67

density of states, charge density and Bader partial charge analysis will be presented in section [Electronic properties](#). Then, section [Mechanical properties](#) contains the calculation results for the mechanical properties. The hydrogen bonding studies and their results will be presented in section [Hydrogen bonding studies](#). Finally, a brief summary will be given in Section [Conclusion](#).

Calculation details and structural optimizations

All calculations have been performed by the self-consistent density functional theory (DFT) with a plane-wave pseudo-potential approach implemented in the Vienna Ab initio Simulation Package (VASP) [33,34].

The pseudopotentials are employed according to Perdew-Burke-Ernzerhof (PBE) parametrization [35]. The generalized gradient approximation (GGA) is chosen for exchange correlation term for the electron-electron interaction. The projector augmented wave (PAW) method [36,37] is implemented for the electron-ion interaction. The cut off energy is chosen as 1000 eV. The k-point sampling has been done with a gamma centered [38] or Monkhorst-Pack scheme [39] according to the crystal structure. The structural optimizations have been performed for each structure and the k-points are chosen gamma centered scheme $10 \times 10 \times 8$ for tetragonal phase (P4mm), $15 \times 15 \times 15$ for cubic phase (Pm-3m), $12 \times 12 \times 3$ for hexagonal phase (P6₃/mmc) and Monkhorst-Pack scheme $6 \times 8 \times 8$ for orthorhombic phase (Pnma), $14 \times 14 \times 14$ for rhombohedral phase (R-3c). To obtain well optimized structures, stresses and Hellman-Feynman forces are minimized using the conjugate gradient algorithm with the force convergence less than 10^{-9} eV Å⁻¹. For the iterative solution of the Khon-Sham equations, the energy tolerance is chosen 10^{-10} eV per unit cell. Ba, Y, and O valence electron configurations are considered as $5s^2 5p^6 6s^2$, $4s^2 4p^6 4d^1 5s^2$, and $2s^2 2p^4$, respectively. For the mechanical properties, elastic constants have been calculated using stress-strain method [33,34]. Bader partial charge calculation has been performed using VASP and analysis of the results have been done using the algorithm developed by Henkelman group [39] that is based on Bader's suggestion [40].

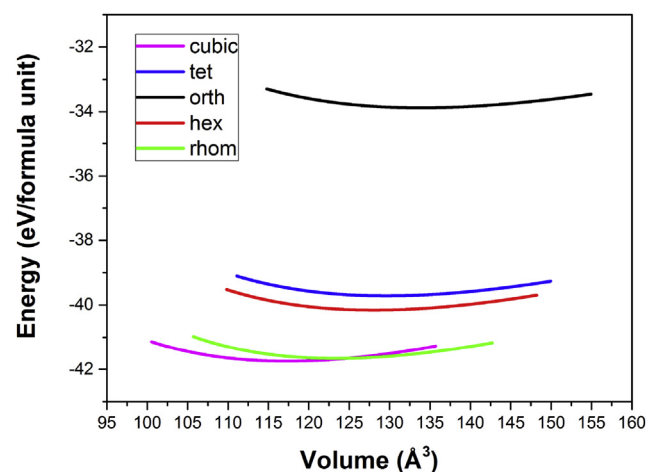
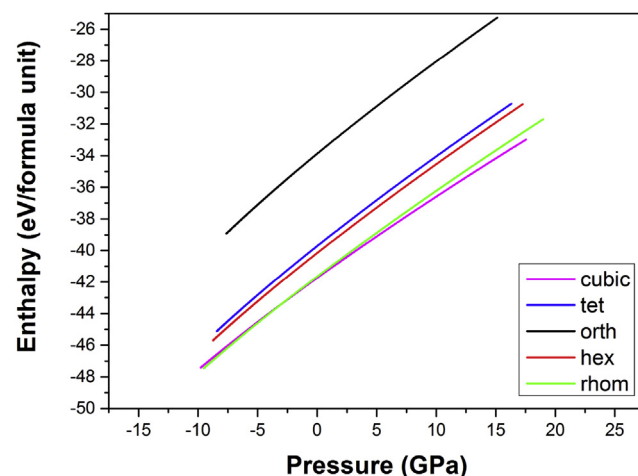
Fig. 1 shows the crystal structure for BaYO₃ perovskite that could crystallize in the five possible crystal structures: cubic (Pm-3m), tetragonal (P4mm), hexagonal (P6₃/mmc), orthorhombic (Pnma) and rhombohedral (R-3c) [41].

These structures have been studied in order to determine the most stable structure. Table 1 lists the optimized lattice parameters. In the literature, there is no experimental nor theoretical study in order to compare the calculated results as authors know up to date. Also, the formation energies have

been calculated using Equation (1) and given in Table 1. If the formation energy is negative, this means that this structure could be synthesizable and energetically stable. For BaYO₃ perovskite, all the possible crystal structures are stable and synthesizable. Also, the cubic phase is the most stable phase because of having the lowest formation energy.

$$\Delta E_f = E_t(\text{BaScO}_3) - [E(\text{Ba}) + E(\text{Sc}) + 3 * E(\text{O})] \quad (1)$$

After the structural optimizations, energy-volume and enthalpy-pressure graphs have been plotted in order to determine the most stable phase and phase transitions. Fig. 2 and Fig. 3 show formation energy as a function of volume and enthalpy as a function of pressure plots for BaYO₃ perovskite. As

**Fig. 2** – Energy-volume graph for BaYO₃ perovskite.**Fig. 3** – Enthalpy-pressure graph for BaYO₃ perovskite.

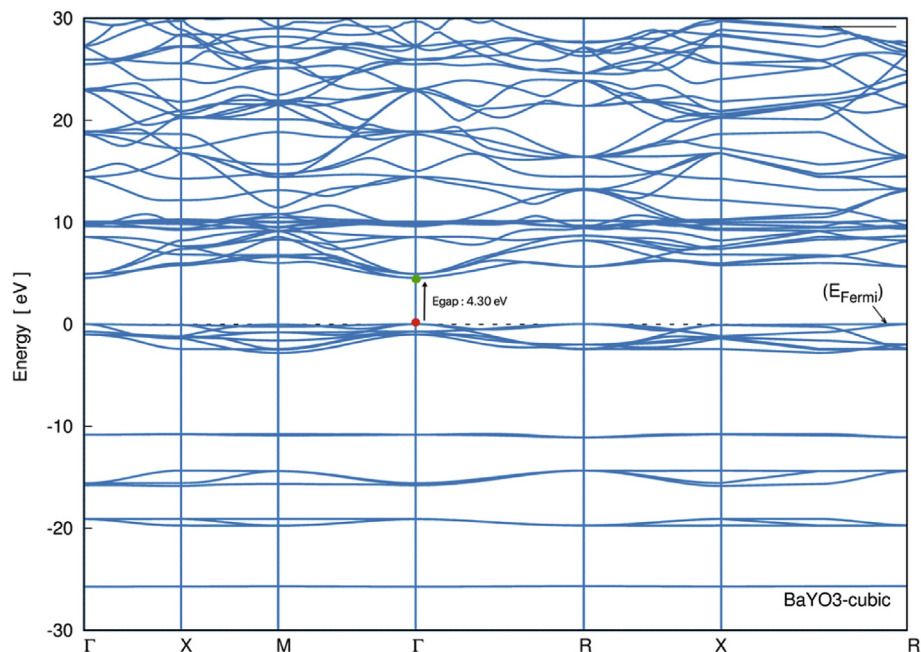


Fig. 4 – Band structure for BaYO₃ cubic phase.

can be seen from the figures, the cubic phase is the most stable phase for BaYO₃ at positive pressures which is consisted with the formation energy calculations. Moreover, the cubic phase has the lowest volume among the studied phases. In addition, BaYO₃ cubic phase do not transform to the other phases at positive pressures. From now on, only the results for the cubic phase will be given not to cover a lot of space in the journal.

Electronic properties

The band structure for BaYO₃ cubic phase has been calculated along the high symmetry points in the first Brillouin zone shown in Fig. 4. BaYO₃ cubic phase has a direct band gap which is 4.30 eV. Moreover, partial density of states obtained from the band structure is given in Fig. 5. The most significant

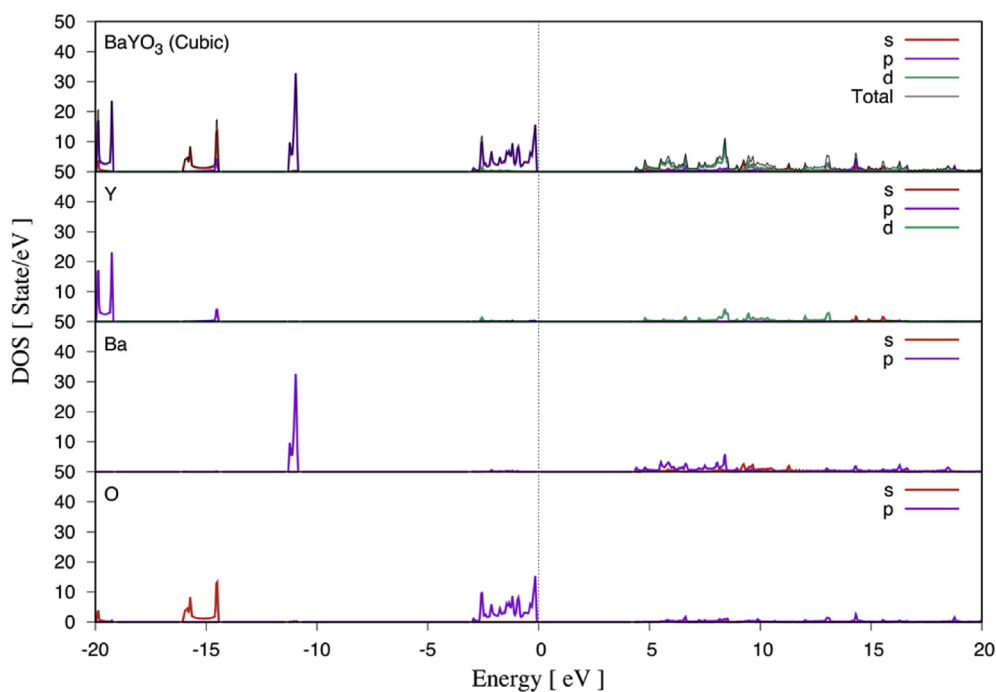


Fig. 5 – Partial density of states for BaYO₃ cubic phase.

contribution comes from Y and Ba atoms above the Fermi level for BaYO₃ cubic phase as can be concluded from Fig. 5.

BaYO₃ cubic phase has ionic bonding that can be seen from the charge density plot given in Fig. 6. In addition, Bader partial charge calculation has been performed using VASP and the analysis of the results has been done using the algorithm developed by Henkelman group. Table 2 lists the Bader partial net charge for each atom of BaYO₃ cubic phase. The total Bader net charge is zero. Also, the bond length between Y–O is 2.21 Å and it is 3.13 Å for Ba–O.

Mechanical properties

Elastic constants (C_{ij}) have been calculated in order to obtain mechanical properties of BaYO₃ cubic phase. C_{11} , C_{22} and C_{44} are required constants for a cubic phase and the calculated values for BaYO₃ cubic phase are given in Table 3. Moreover, the elastic constants for the other phases are given in Table 3 in order to contribute to the literature. The mechanical

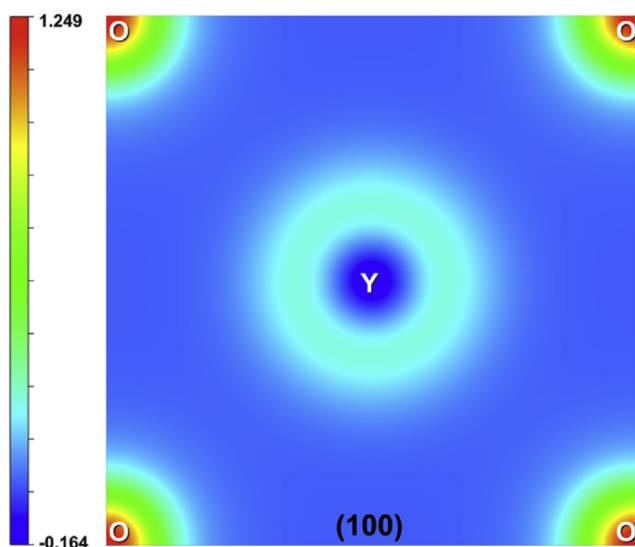


Fig. 6 – Charge density plot for BaYO₃ cubic phase.

Table 2 – Bader net charge for BaYO₃ cubic phase.

	Bader Net Charge
Ba	1.539
Y	1.995
O	–1.178

Table 4 – Bulk modulus (B in GPa), shear modulus (G in GPa), Young's modulus (E in GPa), Poisson's ratio, B/G ratio and hardness (H_v in GPa) for the five possible phases of BaYO₃.

	B	G	E	ν	B/G	H_v
Tetragonal (P4mm)	78.1	18.6	51.7	0.390	4.199	1.9
Rhombohedral (R-3c)	76.6	26.6	71.4	0.334	2.897	1.0
Hexagonal (P6 ₃ /mmc)	70.8	23.4	63.2	0.351	3.025	0.4
Cubic (Pm-3m)	86.1	45.8	116.7	0.274	1.880	7.1

stability of a material could be determined with the Born stability criteria [42] and all phases of BaYO₃ except orthorhombic phase are mechanically stable. These calculated elastic constants have been used to obtain the mechanical properties.

Bulk modulus, shear modulus, Young's modulus, Poisson's ratio, B/G ratio and hardness for BaYO₃ phases have been obtained using the calculated elastic constants and given in Table 4. Bulk modulus (B) is the measure of the incompressibility when a pressure applied. Shear modulus (G) is also called modulus of rigidity and it is known as the ratio of the shear stress to shear strain. Young's modulus (E) is also called elastic modulus and it is a measure of the elastic deformations. BaYO₃ cubic phase has the highest bulk modulus, shear modulus and Young's modulus. Poisson's ratio (ν) is used to determine the bonding nature of a material and defined as the transverse strain to axial strain ratio. The material that has ionic bonding, has ν value of 0.25 and if ν is around 0.1, the material has covalent bonding [43]. BaYO₃ cubic phase has ionic bonding as can be concluded from Table 4. B/G ratio is calculated to determine whether the material brittle or ductile. If B/G ratio is higher than 1.75, the material is ductile, vice versa for brittleness. BaYO₃ cubic phase is ductile. For the last parameter, hardness of a material could be calculated using Chen et al. approach [44]. The cubic phase of BaYO₃ is the hardest phase.

The directional dependent mechanical properties are important because they are used to determine material characteristics for plastic deformations, micro cracks, etc. Therefore, anisotropic elastic properties must be calculated. The calculated elastic constants have been employed to ELATE program [45]. Fig. 7 shows the directional dependent Young's modulus, linear compressibility, Shear modulus and Poisson's ratio in 3D and 2D for BaYO₃ cubic phase. The green curves or spheres show the maximum points for the parameter and the blue ones show the minimum. Also, if the spherical shape is distorted that means the anisotropy [46]. Young's modulus, shear modulus and Poisson's ratio are anisotropic in all planes while linear compressibility is

Table 3 – Elastic constants (C_{ij} in GPa) for the five possible phases of BaYO₃.

	C_{11}	C_{12}	C_{13}	C_{22}	C_{23}	C_{33}	C_{44}	C_{55}	C_{66}
Orthorhombic (Pnma)	82.9	69.8	69.2	55.6	74.7	55.3	–14.1	–1.2	–0.5
Tetragonal (P4mm)	135.5	46.7	52.7			128.1	34.0		1.6
Rhombohedral (R-3c)	132.2	67.7	50.3			100.9	21.1		30.2
Hexagonal (P6 ₃ /mmc)	136.0	98.6	38.1			76.9	23.1		18.6
Cubic (Pm-3m)	182.6	37.9					33.5		

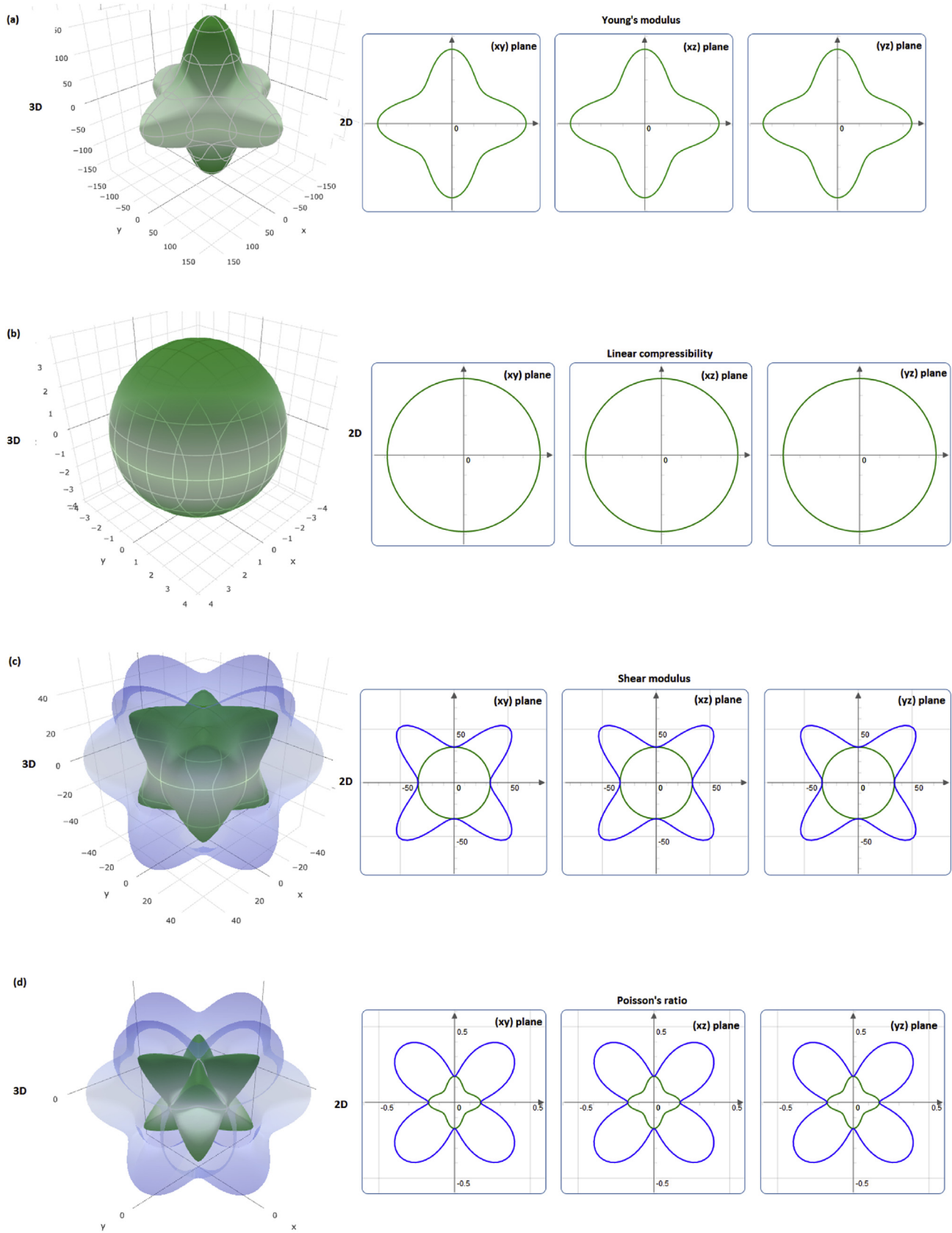


Fig. 7 – The direction dependent (a) Young's modulus, (b) linear compressibility, (c) shear modulus and (d) Poisson's ratio for the cubic phase of BaYO_3 .

isotropic in all planes. Young's modulus has the highest values at the x, y and z axis, while shear modulus and Poisson's ratio have the highest values at 45° of xy, xz and yz planes.

Hydrogen bonding studies

The hydrogen bonding studies could be performed after obtaining main physical properties for the cubic phase of BaYO₃. The cubic phase is chosen for the hydrogen bonding studies because it is the most stable phase and it has the lowest volume among the other phases. The cubic phase of BaYO₃ belongs to 221 (Pm-3m) space group where Ba atoms are at the 1b site, Y atoms are at the 1a site and O atoms are at the 3d site Wyckoff positions.

Wyckoff position of 3c has been chosen for the hydrogen position where three hydrogen atoms have been added to the structure and BaYO₃H₃ chemical formula has been obtained. Fig. 8 shows the crystal structure of BaYO₃H₃.

After the structural optimization, the calculated lattice constant (a, in Å) is 4.55 and the calculated formation energy (ΔE_f) is -0.81 eV/atom that implies that BaYO₃H₃ is stable and synthesizable. The energy required to remove one hydrogen atom from BaYO₃H₃ must be calculated and it is defined as cohesive energy as can be calculated using Equation (2). The calculated cohesive energy is -1.10 eV/atom.

$$E_{\text{cohesive}} = \frac{(E_{\text{BaYO}_3\text{H}_3} - E_{\text{BaYO}_3})}{3} \quad (2)$$

BaYO₃H₃ has been optimized and it has been found that BaYO₃H₃ is stable and synthesizable. Hydrogen storage materials should satisfy some criteria and gravimetric hydrogen storage capacity is one of them. The gravimetric hydrogen storage capacity is the amount of hydrogen stored per unit mass of a material [47]. The gravimetric storage capacity can be calculated using Equation (3) where H/M is the hydrogen to material atom ratio, M_H is the molar mass of hydrogen and M_{Host} is the molar mass of the material [47]. BaYO₃H₃ has 1.09 wt% gravimetric hydrogen storage capacity.

$$C_{\text{wt}\%} = \left(\frac{\left(\frac{H}{M}\right)M_H}{M_{\text{Host}} + \left(\frac{H}{M}\right)M_H} \times 100 \right) \% \quad (3)$$

After the study with BaYO₃H₃, six more hydrogen atoms bonded to BaYO₃H₃ at 6e Wyckoff positions and chemical formula of BaYO₃H₉ has been obtained. Fig. 9 shows the

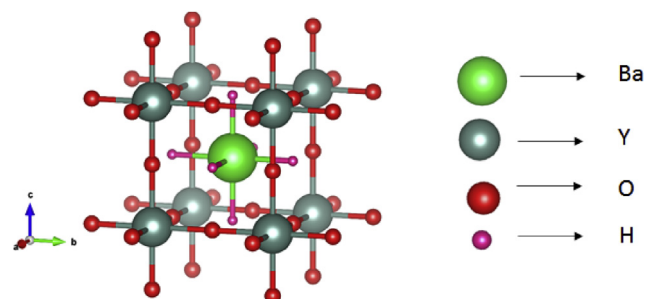


Fig. 8 – Crystal structure of BaYO₃H₃.

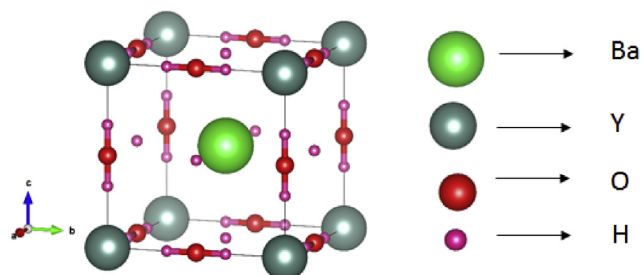


Fig. 9 – Crystal structure of BaYO₃H₉.

crystal structure of BaYO₃H₉. After the structural optimization, the calculated lattice constant (a, in Å) is 5.97 and the calculated formation energy (ΔE_f) is 0.35 eV. The positive formation energy implies that this structure is unstable and cannot be synthesized. Therefore, BaYO₃H₉ is not a good choice for hydrogen storage.

Conclusion

The structural, electronic and mechanical properties for BaYO₃ and BaYO₃H_x perovskite have been calculated by first-principles calculation within the generalized gradient approximation based on density functional formalism. For this purpose, BaYO₃ has been investigated for five possible crystal structures and it has been found that the cubic phase is the most stable phase at positive pressures and has the lowest volume among the studied phases. After determining the most stable structure, mechanical and electronic properties have been investigated. The mechanical stability criteria have been satisfied for all these phases except the orthorhombic phase. BaYO₃ cubic phase has ionic bonding and it is a ductile material. Also, cubic phase is the hardest phase among the investigated phases. Moreover, direction dependent Young's modulus has the highest values at x, y and z axis, while shear modulus and Poisson's ratio have the highest values at 45° of xy, xz and yz planes. In addition, the band gap of BaYO₃ has been calculated as 4.30 eV which is a direct band gap. After these calculations, the hydrogen has been bonded and BaYO₃H₃ has been obtained. BaYO₃H₃ has a negative formation energy, therefore it is stable and synthesizable. In addition, the gravimetric hydrogen storage capacity has been calculated for BaYO₃H₃ which is 1.09 wt%. More hydrogen bonding studies have been performed, such as BaYO₃H₉ has been obtained but the structures are unstable and cannot be suitable for hydrogen storage purposes. This study is a first attempt to consider the perovskite materials for solid state hydrogen storage method as authors know up to date and could be useful for future theoretical and experimental studies for the perovskite materials and hydrogen storage.

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